

JC10 PCT/PTO

Page 1 of 3
7 SEP 2901

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

www.uspto.gov

TOT CLAIMS IND CLAIMS ATTY.DOCKET.NO **DRAWINGS** FIL FEE REC'D APPLICATION NUMBER **GRP ART UNIT** FILING DATE SONYJP-2 3 6 840 09/19/2000 2611 09/646,589 3.3**-097**

000530 LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK 600 SOUTH AVENUE WEST WESTFIELD, NJ 07090 RECEIVED

MAY 0 2 2002

Technology Center 2600

CONFIRMATION NO. 1519
CORRECTED FILING RECEIPT

OC000000006494068*

Date Mailed: 08/29/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Takeo Morinaga, Kanagawa, JAPAN; Ichiro Hamada, Kanagawa, JAPAN;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/00290 01/21/2000

Foreign Applications

JAPAN P11-013417 01/21/1999

If Required, Foreign Filing License Granted 11/13/2000

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title

Data processing device and data processing method

RECEIVED

SEP 0 4 2001

Preliminary Class

LDLK&M

Data entry by : RIMANDO, EMELITA

Team : OIPE

Date: 08/29/2001





GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

LAW OFFICES

LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

600 SOUTH AVENUE WEST

WESTFIELD, NEW JERSEY 07090-1497

THOMAS M. PAI
STEPHEN F. RO

OSEPH SLITTENBERG ARNOLD H. KRUMHOLZ WILLIAM L. MENTLIK JOHN R. NELSON ROY H. WEPNER STEPHEN B. GOLDMAN CHARLES P. KENNEDY PAUL H. KOCHANSKI MARCUS J. MILLET BRUCE H. SALES ARNOLD B. DOMPIERI KEITH E. GILMAN ROBERT B. COHEN MICHAEL H. TESCHNER GREGORY S. GEWIRTZ JONATHAN A. DAVID SHAWN P. FOLEY JEFFREY S. DICKEY

(908) 654-5000 FAX: (908) 654-7866 www.ldlkm.com

PATENTS, TRADEMARKS AND COPYRIGHTS

September 13, 2001

THOMAS M. PALISI
STEPHEN F. ROTH
KIMBERLY V. PERRY
RENÉE M. ROBESON
LYNNE A. BORCHERSA
MICHAEL J. DOHERTY
MATTHEW B. DERNIER
J. KIRKLAND DOUGLASS
SCOTT S. SERVILLA
JEANNE P. VALLEBUONA
EDWARD D. PERGAMENTA
FRANK J. CHESKY II
WILLIAM SMITH
TIMOTHY J. LUBECKI*
TIMOTHY J. BORTREE
YUFENG LIU

OF COUNSEL
LAWRENCE I. LERNER
DANIEL H. BOBIS
RAYMOND W. AUGUSTINA
HARVEY L. COHEN

•NORTH CAROLINA BAR ONLY ▲NEW YORK BAR ONLY ★PENNSYLVANIA BAR ONLY

Commissioner For Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Re:

Our File: SONYJP 3.3-097

Appln. No. 09/646,589

Filing Date: September 19, 2000

Group Art Unit: 2611

ભ્રામ્યું હું છે.

RECEIVED

MAY 0 2 2002

Dear Sir:

We are enclosing herewith copies of the filing receipt and page 1 of a Preliminary Amendment for the above-identified patent application.

Please note that the correct *Title* should read --DATA PROCESSING APPARATUS AND METHOD FOR PREVENTING UNAUTHORIZED COPYING--.

Kindly issue a corrected filing receipt for the above-identified application as soon as possible.

Sincerely yours,

LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

ARNOLD H. KRUMHOLZ Reg. No. 25,428

AHK:ls Enclosures 328116_1.DOC